

Optimal Inapproximability of Max CSPs over large alphabet

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MAX k -CSP $_R$

Maximum Constraint Satisfaction Problem:

- ▶ Variables take values in alphabet of size R .
- ▶ Constraints involve k variables each.
- ▶ Goal: find assignment maximizing # of satisfied constraints.

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Example

For $k = 2$, $R = 3$, a 2-CSP $_3$ is given by a list of constraints:

$$\left\{ \begin{array}{l} (x_1 = 0 \wedge x_2 = 2) \\ (x_1 = 1 \wedge x_3 = 2) \\ \dots \end{array} \right.$$

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NP-hard to solve exactly (contains MAX-CUT, MAX 3-SAT).

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Non-boolean CSPs ($R > 2$): not resolved prior.

Hardness of Approximation

Trivial $(1/R^k)$ -approximation for $\text{MAX } k\text{-CSP}_R$: Random assignment. Each clause matches the maximizing assignment w.p. $1/R^k$.

Q: Can we do better? Is it hard to do much better?

Prior Work: Non-boolean Max CSP

Approximation factors:¹

	Algorithm	UG-Hardness	NP-Hardness
$k = 2$		$\frac{\log R}{R}$	
$k = 3$			
$3 \leq k < O(1)$			

¹Ignoring constants, and for large R .

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For constant $k \geq 3$, **factor of R gap** in hardness vs. approximation.

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We give matching UG-hardness and approximation algorithms for any k, R . **Gap reduced to $O(1)$** for constant k .²

² Original paper had **polylog(R)** gap. Improvement suggested by Rishi Saket, Subhash Khot, Venkat Guriswami.

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UG-Hardness-of-approximation equivalent to **dictator testing**.

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Given oracle access to $f : [R]^n \rightarrow [R]$, determine if f is a dictator or “far from a dictator”.

- ▶ **Completeness** c : If f is a dictator, accept w.p. $\geq c$.
- ▶ **Soundness** s : If f is “far from” a dictator, accept w.p. $\leq s$.

“Far from dictator” \equiv small low-degree influences (Fourier condition)

Examples

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$$f(x_1, x_2, \dots, x_n) := x_1 \oplus_R x_2$$

is NOT far from a dictator.

UG-Hardness of Approximation

k -query dictator test over
alphabet R , with
(soundness, completeness) = (s, c)



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UG-hard to approximate
MAX k -CSP $_R$ better
than $\approx (s/c)$.

UG-hardness of boolean 2-CSP

[Khot, Kindler, Mossel, O'Donnell]

2-Query Boolean Dictator test

$$f : \{0, 1\}^n \rightarrow \{0, 1\}, \quad \mathbb{E}[f] = 1/2.$$

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For $p \approx 0.15$,

- ▶ **Completeness:** If f is a dictator, accepts w.p. $\geq 1 - p \approx 0.85$.
- ▶ **Soundness:** If f is “far from” a dictator, accepts w.p. $\leq \approx 0.74$.

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- ▶ Ratio: $s/c \approx 0.878567 = \alpha_{GW}$

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Verifier accepts iff

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Example

majority function $maj : \{\pm 1\}^n \rightarrow \{\pm 1\}$.

$$maj(x_1, \dots, x_n) = \text{sign}\left(\sum_i x_i\right)$$

If noise η is high enough, $\text{sign}(\sum_i x_i)$ will be almost independent of
 $\text{sign}(\sum_i (x_i + \eta_i))$

Our k -query large alphabet dictator test

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- ▶ **Accept iff $f(z + \eta_1) = f(z + \eta_2) = \dots = f(z + \eta_k)$**

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We show:

- ▶ **Completeness:** If f is a dictator ($f(x) = x_j$), accepts w.p. $\approx \frac{1}{(\log R)^{k/2}}$
- ▶ **Soundness:** If f is balanced and has small influences, accepts w.p. $\leq \approx \frac{1}{R^{k-1}}$

If f is far from dictator, the k queries $f(z + \eta_1), f(z + \eta_2), \dots$ look almost independent – all equal w.p. $\approx \frac{1}{R^{k-1}}$.

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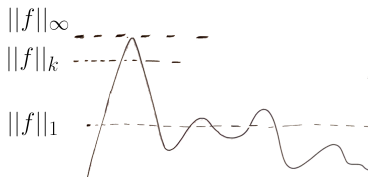
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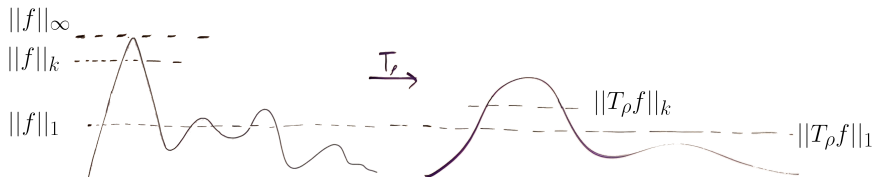
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Map a constraint

$$(X_1 = a_1) \wedge (X_2 = a_2) \wedge \cdots \wedge (X_k = a_k)$$

to all **pairwise constraints** $\{(X_i = a_i \wedge X_j = a_j) : 1 \leq i < j \leq k\}$

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- ▶ Tight results based on NP-hardness